

SOCIAL SECURITY ADMINISTRATION

**FY 2016 PRESIDENT'S BUDGET
Key Tables**

Table i.1 - Summary Table of SSA's Appropriation Request

FY 2016	FTE	Amount
Payments to Social Security Trust Funds		\$ 20,400,000
Supplemental Security Income Program		
FY 2016 Request		\$ 46,422,000,000 ¹
FY 2017 First Quarter Advance		\$ 14,500,000,000
Limitation on Administrative Expenses	64,844	\$ 12,513,000,000 ²
Office of the Inspector General	560	\$ 109,795,000

¹ Excludes \$19,200,000,000, previously appropriated as a first quarter advance for FY 2016.

² Includes \$136,000,000 for SSI State Supplementary user fees and up to \$1,000,000 for non-attorney user fees.

Table i.2 – Administrative Budget Authority and Other Planned Obligations¹ (in millions)

	FY 2014 Actual	FY 2015 Enacted	FY 2016 Estimate	Change FY 15/FY 16
<u>Budget Authority</u>				
Base Limitation on Administrative Expenses (LAE)	\$ 10,328	\$ 10,285	\$ 10,937	\$ 652
Program Integrity Base Level	\$ 273	\$ 273	\$ 273	\$ 0
Program Integrity Cap Adjustment	\$ 924	\$ 1,123	\$ 1,166	\$ 43
User Fees ²	\$ 172	\$ 125	\$ 137	\$ 12
Subtotal, LAE Appropriation	\$ 11,697	\$ 11,806	\$ 12,513	\$ 707
Percent change from FY 2015				6.0%
Research	\$ 47	\$ 83	\$ 101	\$ 18
Office of the Inspector General (OIG)	\$ 102	\$ 103	\$ 110	\$ 6
Subtotal, Budget Authority	\$ 11,846	\$ 11,992	\$ 12,724	\$ 732
Percent change from FY 2015				6.1%
<u>Other Planned Obligations</u>				
No-year Information Technology	\$ 268	\$ 255	\$ 200	-\$ 55
MIPPA – Low-Income Subsidy (LIS)	\$ 0	\$ 6	\$ 6	\$ 0
Recovery Act ³				
Workload Processing	\$ 0	\$ 0	\$ 0	\$ 0
Economic Recovery Payments – Admin	\$ 0	\$ 0	\$ 0	\$ 0
National Computer Center Replacement	\$ 70	\$ 55	\$ 20	-\$ 36
OIG Oversight	\$ 0	\$ 0	\$ 0	\$ 0
Subtotal, Other Planned Obligations	\$ 338	\$ 316	\$ 226	-\$ 91
TOTAL BUDGET AUTHORITY AND PLANNED OBLIGATIONS	\$ 12,183	\$ 12,309	\$ 12,949	\$ 641

¹ Totals may not equal sums of component parts due to rounding.

² Includes SSI user fees and Social Security Protection Act user fees.

³ Funds provided in the American Recovery and Reinvestment Act of 2009 (Recovery Act) (P.L. 111-5).

Table i.3 – SSA Full Time Equivalents and Workyears

	FY 2014 Actual	FY 2015 Estimate	FY 2016 Estimate	Change FY 15/FY 16
SSA Full Time Equivalents	60,338	63,698	64,844	1,146
SSA Overtime/Lump Sum Leave	3,125	2,347	2,602	255
Subtotal, SSA Workyears	63,463	66,045	67,446	1,401
Disability Determination Services (DDS) Workyears	14,187	14,650	14,750	100
Subtotal, SSA and DDS Workyears	77,650	80,695	82,196	1,501
OIG Full Time Equivalents	539	555	560	5
OIG Overtime/Lump Sum Leave	4	3	3	0
Subtotal, OIG Workyears	543	558	563	5
TOTAL SSA/DDS/OIG WORKYEARS	78,193	81,253	82,759	1,506

Table i.4 – SSA Outlays by Program (in millions)

	FY 2014 Actual	FY 2015 Estimate	FY 2016 Estimate	Change FY 15/FY 16
<u>Trust Fund Programs</u>				
Old-Age and Survivors Insurance (OASI)	\$ 705,928	\$ 747,919	\$ 792,431 ¹	\$ 44,512
Disability Insurance (DI)	\$ 144,640	\$ 148,470	\$ 151,925	\$ 3,455
Subtotal, Trust Fund Programs	\$ 850,568	\$ 896,389	\$ 944,356	\$ 47,967
<u>Proposed OASDI Legislation:</u>				
Same Sex Marriage	\$ 0	\$ 1	\$ 5	\$ 4
Financial Account Verifications	\$ 0	\$ 0	-\$ 5	-\$ 5
Subtotal, Proposed OASDI Legislation	\$ 0	\$ 1	\$ 0	-\$ 1
<u>General Fund Programs</u>				
Supplemental Security Income (SSI)	\$ 57,860	\$ 60,151	\$ 65,903	\$ 5,752
Special Benefits for Certain World War II Veterans	\$ 4	\$ 5	\$ 4	-\$ 1
Recovery Act: National Support Center	\$ 141	\$ 67	\$ 43	-\$ 24
Subtotal, General Fund Programs	\$ 58,005	\$ 60,223	\$ 65,950	\$ 5,727
<u>Proposed General Fund Legislation:</u>				
SSI Refugee Extension	\$ 0	\$ 0	\$ 42	\$ 42
WEP/GPO Enforcement	\$ 0	\$ 0	\$ 70	\$ 70
W/C Enforcement	\$ 0	\$ 0	\$ 10	\$ 10
Federal Wage Reporting	\$ 0	\$ 0	\$ 140	\$ 140
FERS-DI	\$ 0	\$ 0	\$ 6	\$ 6
Subtotal, Proposed General Fund Legislation	\$ 0	\$ 0	\$ 268	\$ 268
TOTAL SSA Outlays, Current Law	\$ 908,573	\$ 956,612	\$ 1,010,306	\$ 53,693
Percent change from FY 2014				5.6%
TOTAL SSA Outlays, Proposed Law	\$ 0	\$ 1	\$ 268	\$ 267
TOTAL SSA Outlays, Current & Proposed Law	\$ 908,573	\$ 956,613	\$ 1,010,574	\$ 53,961

¹ The appendix shows OASI FY 2016 Estimate as \$792,436M. The correct amount is \$792,431M.

**Table i.5 – Current Law- Old Age, Survivors and Disability Insurance Outlays and Income
(in millions)**

	FY 2014 Actual	FY 2015 Estimate	FY 2016 Estimate	Change FY 15/FY 16
<u>Outlays</u>				
OASI Benefits	\$ 698,235	\$ 740,496	\$ 784,734	\$ 44,238
DI Benefits	\$ 141,291	\$ 144,972	\$ 148,244	\$ 3,272
Other ¹	\$11,042	\$ 10,921	\$ 11,378	\$ 457
TOTAL OUTLAYS, Current Law	\$ 850,568	\$ 896,389	\$ 944,356	\$ 47,967
<u>Income</u>				
OASI	\$ 763,339	\$ 791,408	\$ 820,144	\$ 28,736
DI	\$114,193	\$ 117,322	\$ 121,323	\$ 4,001
TOTAL INCOME, Current Law	\$ 877,532	\$ 908,730	\$ 941,467	\$ 32,737

¹ "Other" includes SSA & non-SSA administration expenses, beneficiary services, payment to the Railroad Retirement Board, and demonstration projects.

**Table i.6 – Current Law- OASDI Beneficiaries and Average Benefit Payments
(Beneficiaries in thousands)**

	FY 2014 Actual	FY 2015 Estimate	FY 2016 Estimate	Change FY 15/FY 16
<u>Average Number of Beneficiaries</u>				
OASI	47,270	48,822	50,443	1,621
DI	10,969	11,020	11,068	48
TOTAL BENEFICIARIES	58,239	59,842	61,511	1,669
<u>Average Monthly Benefit</u>				
Retired Worker	\$ 1,292	\$ 1,327	\$ 1,358	\$ 31
Disabled Worker	\$ 1,141	\$ 1,161	\$ 1,179	\$ 18
Projected COLA Payable in January	1.5%	1.7%	1.3%	-0.4%

Table i.7 – Current Law- Supplemental Security Income Outlays¹ (in millions)

	FY 2014 Actual	FY 2015 Estimate	FY 2016 Estimate	Change FY 15/FY 16
Federal Benefits ²	\$ 53,891	\$ 55,374	\$ 60,683	\$ 5,309
Other ³	\$ 3,917	\$ 4,781	\$ 5,000	\$ 219
Subtotal, Federal Outlays⁴	\$ 57,808	\$ 60,155	\$ 65,683	\$ 5,528
State Supplementary Benefits	\$ 3,280	\$ 2,705	\$ 2,975	\$ 270
State Supplementary Reimbursements	-\$ 3,227	-\$ 2,709	-\$ 2,755	-\$ 46
Subtotal, Net State Supplementary Payments⁵	\$ 53	-\$ 4	\$ 220	\$ 224
TOTAL OUTLAYS, Current Law	\$ 57,860	\$ 60,151	\$ 65,903	\$ 5,752

¹ Totals may not equal sums of component parts due to rounding.

² There are 12 payments per year in FY 2014 and FY 2015. There are 13 payments in FY 2016.

³ "Other" includes beneficiary services, research, and administrative costs.

⁴ Subtotal, Federal Outlays includes \$3M for Special Immigrant Visa.

⁵ States must reimburse SSA in advance for State Supplementary Payments. There will always be 12 state reimbursements in each fiscal year, but there can be 11, 12, or 13 benefit payments per fiscal year because a monthly payment is advanced into the end of the previous month anytime the due date falls on a weekend or holiday. Hence, the "Net State Supplementary Payment" numbers vary from year-to-year depending on the timing of the October benefit payments at the beginning and end of each fiscal year.

Table i.8 – SSI Recipients and Benefit Payments¹ (Recipients in thousands)

	FY 2014 Actual	FY 2015 Estimate	FY 2016 Estimate	Change FY 15/FY 16
<u>Average Number of SSI Recipients</u>				
Federal Recipients				
Aged	1,094	1,098	1,104	6
Blind or Disabled	7,076	7,121	7,132	11
SUBTOTAL, FEDERAL RECIPIENTS	8,171	8,220	8,236	16
State Supplement Recipients (with no Federal SSI payment)	217	178	181	3
TOTAL SSI RECIPIENTS, Current Law	8,388	8,398	8,417	19
<i>SSI Recipients Concurrently Receiving OASDI Benefits (included above)</i>				
	2,605	2,620	2,626	6
<u>Average Monthly Benefit</u>				
Aged	\$ 383	\$ 391	\$ 399	\$ 8
Blind and Disabled	\$ 568	\$ 580	\$ 590	\$ 10
AVERAGE, All SSI Recipients	\$ 543	\$ 554	\$ 565	\$ 11
Projected COLA Payable in January	1.5%	1.7%	1.3%	-0.4%

¹ Totals may not equal sums of component parts due to rounding.

**Table i.9 – Special Benefits for Certain WWII Veterans Overview
(Outlays in millions)**

	FY 2014 Actual	FY 2015 Estimate	FY 2016 Estimate	Change FY 15/FY 16
Federal Benefits	\$ 4	\$ 4	\$ 3	-\$ 1
Administration	\$ 0 ¹	\$ 1	\$ 1	\$ 0
TOTAL OUTLAYS	\$ 4	\$ 5	\$ 4	-\$ 1
Average Number of Beneficiaries (in thousands)	1	1	1	0
Average Monthly Benefit	\$ 323	\$ 404	\$ 409	\$ 5

¹ Less than \$500,000.

**Table i.10 – Administrative Outlays as a Percent of
Trust Fund Income and Benefit Payments - FY 2016**

	Percent of Income	Percent of Benefit Payments
OASI	0.3%	0.4%
DI	2.5%	2.1%
OASDI (combined)	0.6%	0.6%
SSI (Federal and State)	N/A	7.8%
TOTAL SSA ¹		1.3%

¹ Includes Hospital Insurance (HI) and Supplemental Medical Insurance (SMI) administrative outlays. SSA's calculation of discretionary administrative expenses excludes Treasury Administrative expenses which are mandatory outlays."

Table i.11 – Tax Rates, Wage Base and Economic Assumptions

	CY 2014	CY 2015	CY 2016	Change CY 15/CY 16
<u>Employer/Employee Rates (each)</u>				
OASDI (Social Security)	6.20%	6.20%	6.20%	0.0%
Hospital Insurance (HI) (Medicare)	1.45%	1.45%	1.45%	0.0%
EMPLOYEE TOTAL	7.65%	7.65%	7.65%	0.0%
<u>Self-Employment Rates</u>				
OASDI (Social Security)	12.40%	12.40%	12.40%	0.0%
HI (Medicare)	2.90%	2.90%	2.90%	0.0%
TOTAL	15.30%	15.30%	15.30%	0.0%
<u>Cost of Living Adjustments (COLAs)</u>				
January	1.5%	1.7%	1.3% ¹	-0.4%
<u>Contribution and Benefit Base</u>				
OASDI	\$ 117,000	\$ 118,500	\$ 122,700 ¹	\$ 4,200
HI	(no cap)	(no cap)	(no cap)	
<u>Annual Retirement Test</u>				
Year Individual Reaches Full Retirement Age	\$ 41,400	\$ 41,880	\$ 43,320 ¹	\$1,440
Under Full Retirement Age	\$ 15,480	\$ 15,720	\$ 16,320 ¹	\$ 600
<u>Wages Required for a Quarter of Coverage</u>	\$ 1,200	\$ 1,220	\$ 1,260¹	\$ 40

¹ Estimate.

Table i.12 – Selected Performance Measures

	FY 2014 Actual	FY 2015 Enacted	FY 2016 Request
<u>Selected Workload Measures</u>			
Retirement and Survivors Claims Completed (thousands)	5,024	5,247	5,434
Initial Disability Claims Completed (thousands)	2,862	2,767	2,773
Disability Reconsiderations Completed (thousands)	757	739	719
Hearings Completed (thousands)	681	727	829
National 800 Number Calls Handled (millions) ¹	37	38	43
Average Speed of Answer (ASA) (seconds) ²	1,323	700	545
Agent Busy Rate (ABR)	14%	8%	2%
Social Security Numbers Completed (millions)	16	16	16
Annual Earnings Items Completed (millions)	257	257	258
Social Security Statements Issued (millions) ³	4	44	44
<u>Selected Outcome Measures</u>			
Initial Disability Claims Receipts (thousands)	2,805	2,755	2,780
Hearings Receipts (thousands)	811	805	813
Initial Disability Claims Pending (thousands)	633	621	628
Disability Reconsiderations Pending (thousands)	170	143	144
Hearings Pending (thousands)	978	1,056	1,039
Average Processing Time for Initial Disability Claims (days)	110	109	107
Average Processing Time for Disability Reconsiderations (days) ⁴	108	TBD	TBD
Annual Average Processing Time for Hearings Decisions (days)	422	470	490
Disability Determination Services Production per Workyear	311	313	317
Office of Disability Adjudication and Review Production per Workyear	102	104	106
Other Work/Service in Support of the Public - Annual Growth of Backlog (workyears)	N/A	(100)	(200)
<u>Selected Program Integrity Performance Measures</u>			
Periodic Continuing Disability Reviews (CDRs) Completed (thousands)	1,675	1,890	2,008
Full Medical CDRs (included above, thousands)	526	790	908
Supplemental Security Income Non-Disability Redeterminations Completed (thousands)	2,628	2,255	2,622

¹ Beginning in FY 2014 under the new CARE 2020 network structure, performance is tracked using Calls Handled as opposed to Transactions Handled. The legacy network recorded transactions handled within the network, either by agents or through an automated process. In some instances, multiple transactions were completed within one call, making it appear as though we served a larger volume of callers. Calls Handled tracks the individual caller and is more in line with our other National 800 Number service performance metrics which track how long a single caller is on hold or how often they receive a busy signal.

² As of October 1, 2014, Scheduled Voice Callbacks (SVC) are included in the calculation for Average Speed of Answer (ASA). People who choose to receive a callback do not have to wait on hold for an agent. The system contacts the caller when it is their turn to speak with an agent. The new ASA calculation excludes the virtual wait time for SVC callers but will include the time callers wait to be connected to an agent. In most cases, people receiving a callback wait a very small amount of time to be connected to an agent.

³ The Social Security Statements Issued measure includes paper statements only; does not include electronic statements issued.

⁴ We developed management information for Average Processing Time for Disability Reconsiderations in FY 2013. FY 2014 is the first full fiscal year for which data are available for this measure. We will develop a performance target in FY 2016, after we have had the ability to analyze at least two years of actual data.